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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Johann F. Hellenkamp  
Serial No.: 10/062,178  
Filing Date: January 31, 2002  
For: AUTOMATIC SURGICAL DEVICE AND CONTROL ASSEMBLY FOR CUTTING A CORNEA

Group Art Unit 3731

2800 S.W. Third Avenue  
Historic Coral Way  
Miami, Florida 33129  
July 7, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

RECEIVED  
JUL 17 2003  
TECHNOLOGY CENTER R3700

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty of disclosure, the documents listed below are provided for consideration by the U.S. Patent and Trademark Office in connection with the above-captioned application. Each of these documents relate to litigation in the matter of Bausch & Lomb Surgical Inc. v. Moria, S.A. and Microtech, Inc., the docket sheet having been previously provided [U.S. District Court for the Eastern District of Pennsylvania, Civil Docket for Case No.: 99-CV-4247, Bausch & Lomb et al. v. Moria S.A. et al., 2003]. Each document is also listed on the attached form PTO-1449 (Modified) which includes a cross reference to the file name of each document on a Data CD, which is also enclosed. The Data CD includes an electronic copy of each document listed below and on form PTO-1449 (Modified) in portable document format (PDF), to facilitate the Examiner's review. AS

discussed with the Examiner regarding the initial Information Disclosure Statement, a hard copy of each document listed herein will be provided upon request.

Other Documents Related to Litigation  
Bausch & Lomb Surgical Inc. v. Moria, S.A. and Microtech, Inc.

Summons & Complaint;

Answer of Moria, S.A.;

Answer of Microtech, Inc.;

Moria's First Interrogatories;

Defendants' First Request for Production of Documents;

B&L's Combined Motion to Dismiss/Motion to Strike [and proposed Order];

Defendants' Brief in Opposition to Plaintiff's Motion to Dismiss or Strike Their Counterclaim;

Order;

Amended Answer of Moria, S.A.;

Amended Answer of Microtech, Inc.;

B&L's Answer to the Amended Counterclaim of Moria;

B&L's Answer to the Amended Counterclaim of Microtech;

B&L's Interrogatories to Defendant Moria;

B&L's Interrogatories to Defendant Microtech;

B&L's First Set of Request for Production and Things to Defendants Moria and Microtech;

(Proposed) First Amended Complaint;

B&L's Interrogatories to Defendant Moria;

B&L's Interrogatories to Defendant Microtech;

B&L's First Set of Request for Production of Documents and Things to Defendants Moria and Microtech;

First Amended Complaint;

B&L's Second Interrogatories (No. 5) to Defendant Microtech;

B&L's Second Set of Request for Production (Nos. 61-81) of Documents and Things to Defendants;

B&L's Second Interrogatories (No. 5) to Defendant Moria;

Stipulation;

Answer of Moria S.A. to First Amended Complaint;

Answer of Microtech, Inc. to First Amended Complaint;

B&L's Amended Answer to Moria's Counterclaim;

B&L's Amended Answer to Microtech's Counterclaim;

B&L's Confidential Answers to Moria's First Interrogatories;

B&L's Answers to Moria's First Interrogatories;

B&L's Responses to Defendants' First Request for Production of Documents;

Moria, S.A. & Microtech, Inc.'s Response to B&L's First and Second Sets of Requests for Production;

Defendants' Response to B&L's First and Second Sets of Interrogatories;

Letter of Transmittal - B&L's Claim Charts;

Letter of Transmittal - Defendant's Response to Claim Charts;

Defendants' Second Request for Production of Documents;

Defendants' Second Set of Interrogatories;

B&L's Responses to Defendants' Second Request for Production of Documents;

B&L's Answers to Defendants' Second Set of Interrogatories;

Report of Roger F. Steinert, M.D.;

Markman Report of J.E. Akin, Ph.D., P.E.;

Second Amended Complaint [and Stipulation];

Rebuttal Report of Roger F. Steinert, M.D.;

Rebuttal Report of Stephen G. Slade, M.D.;

Answer of Moria S.A. and Microtech, Inc. to Second Amended Complaint;

Defendants' Markman Brief;

Plaintiff B&L's Brief in Support of Claim Construction [and (proposed) Order];

Plaintiff B&L's Second Amended Answer to Defendants' Counterclaim;

Defendants' Markman Reply Brief;

Plaintiff B&L's Reply to Defendants' Markman Brief;

Joint Exhibits for Markman Hearing, Vol. 1 of 3;

Joint Exhibits for Markman Hearing, Vol. 2 of 3;

Joint Exhibits for Markman Hearing, Vol. 3 of 3;

Conclusions of Law Regarding Patent Claim Construction;

Plaintiff B&L's Request for Reconsideration of the Court's April 16, 2002 Claim Construction;

Defendants' Brief in Opposition to Plaintiff's Request for Reconsideration of Markman Order;

Plaintiff B&L's Reply in Support of B&L's Request for Reconsideration of the Court's April 16, 2003 Claim Construction; and

Memorandum Sur Motion for Reconsideration of Patent Claim Construction.

The Applicant notes that all of the documents cited above result from litigation in the above-referenced matter and, in an abundance of caution, they are provided herein for the Examiner's consideration. Further, the Applicant submits these documents to facilitate the Examiner's evaluation of the issues presented as a result of litigation of this matter, as well as the Examiner's evaluation of the

need for further materials related to this matter, which the Applicant will promptly provide upon request.

The Examiner is respectfully requested to return a copy of an initialled PTO-1449 (Modified) evidencing consideration of this information with the next Office Action.

The Applicant further notes his intent to prepare and submit a Second Supplemental Information Disclosure Statement comprising documents which relate to litigation in the matter of Bausch & Lomb Inc. v. Oasis Medical Inc., the docket sheet also having been previously provided [U.S. District Court for the Central District of California, Civil Docket for Case No.: 00-CV-11298, Bausch & Lomb Inc. v. Oasis Medical Inc., 2003].

Finally, the Applicant encloses herewith a replacement for PTO-1449 (Modified) as submitted with the initial Information Disclosure Statement, solely for the purpose of the correction of headings. The replacement PTO-1449 (Modified) includes corrected headings for the Foreign Patent Documents (page 10) and Other Documents (pages 11-14) cited thereon. The Examiner is also respectfully requested to return a copy of an initialed replacement PTO-1449 (Modified) evidencing consideration of this information with the next Office Action.

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Respectfully submitted,

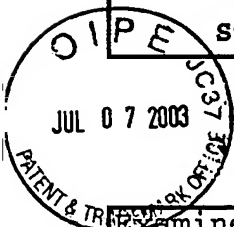
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Miami, Florida 33129  
(305) 858-8000

By: 

Jennie S. Malloy  
Reg. No. 37,670  
Peter A. Matos  
Reg. No. 37,884

Dated: 7/7/03

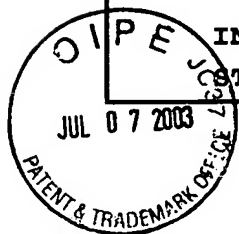
PTO-1449 (Modified)	ATTORNEY DOCKET NO. 1.096.01	SERIAL NUMBER 10/062,178
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	APPLICANT Johann F. Hellenkamp	
<b>FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	FILING DATE January 31, 2002	GROUP ART UNIT 3731



**OTHER DOCUMENTS RELATED TO LITIGATION**  
**BAUSCH AND LOMB SURGICAL v. MORIA, S.A. and MICROTECH, INC.**

Examiner's Initials	Document	Data CD Reference No.
	Summons & Complaint	BLMM01
	Answer of Moria, S.A.	BLMM02
	Answer of Microtech, Inc.	BLMM03
	Moria's First Interrogatories	BLMM04
	Defendants' First Request for Production of Documents	BLMM05
	B&L's Combined Motion to Dismiss/Motion to Strike [and proposed Order]	BLMM06
	Defendants' Brief in Opposition to Plaintiff's Motion to Dismiss or Strike Their Counterclaim	BLMM07
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	Amended Answer of Moria, S.A.	BLMM09
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	B&L's Answer to the Amended Counterclaim of Moria	BLMM11
	B&L's Answer to the Amended Counterclaim of Microtech	BLMM12
	B&L's Interrogatories to Defendant Moria	BLMM13
	B&L's Interrogatories to Defendant Microtech	BLMM14
	B&L's First Set of Request for Production and Things to Defendants Moria and Microtech	BLMM15
	(Proposed) First Amended Complaint	BLMM16
	B&L's Interrogatories to Defendant Moria	BLMM17

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Examiner's Initials	Document JUL 17 2003 TECHNOLOGY CENTER B3700	Data CD Reference No.
	B&L's Interrogatories to Defendant Microtech	BLMM18
	B&L's First Set of Request for Production of Documents and Things to Defendants Moria and Microtech	BLMM19
	First Amended Complaint	BLMM20
	B&L's Second Interrogatories (No. 5) to Defendant Microtech	BLMM21
	B&L's Second Set of Request for Production (Nos. 61-81) of Documents and Things to Defendants	BLMM22
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	B&L's Confidential Answers to Moria's First Interrogatories	BLMM29
	B&L's Answers to Moria's First Interrogatories	BLMM30
	B&L's Responses to Defendants' First Request for Production of Documents	BLMM31
	Moria, S.A. & Microtech, Inc.'s Response to B&L's First and Second Sets of Requests for Production	BLMM32
	Defendants' Response to B&L's First and Second Sets of Interrogatories	BLMM33



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	Letter of Transmittal - Defendant's Response to Claim Charts	BLMM35
	Defendants' Second Request for Production of Documents	BLMM36
	Defendants' Second Set of Interrogatories	BLMM37
	B&L's Responses to Defendants' Second Request for Production of Documents	BLMM38
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	Rebuttal Report of Stephen G. Slade, M.D.	BLMM44
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	Defendants' Markman Brief	BLMM46
	Plaintiff B&L's Brief in Support of Claim Construction [and (proposed) Order]	BLMM47
	Plaintiff B&L's Second Amended Answer to Defendants' Counterclaim	BLMM48
	Defendants' Markman Reply Brief	BLMM49
	Plaintiff B&L's Reply to Defendants' Markman Brief	BLMM50

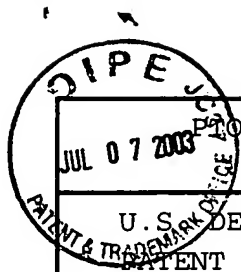
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	Joint Exhibits for Markman Hearing, Vol. 1 of 3	BLMM51
	Joint Exhibits for Markman Hearing, Vol. 2 of 3	BLMM52
	Joint Exhibits for Markman Hearing, Vol. 3 of 3	BLMM53
	Conclusions of Law Regarding Patent Claim Construction	BLMM54
	Plaintiff B&L's Request for Reconsideration of the Court's April 16, 2002 Claim Construction	BLMM55
	Defendants' Brief in Opposition to Plaintiff's Request for Reconsideration of Markman Order	BLMM56
	Plaintiff B&L's Reply in Support of B&L's Request for Reconsideration of the Court's April 16, 2002 Claim Construction	BLMM57
	Memorandum Sur Motion for Reconsideration of Patent Claim Construction	BLMM58

Examiner's Signature		Date Considered:	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw Line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



PA 10-1449 (Modified)	ATTORNEY DOCKET NO. 1.096.01	SERIAL NUMBER 10/062,178
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	APPLICANT Johann F. Hellenkamp	
<b>FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	FILING DATE January 31, 2002	GROUP ART UNIT 371

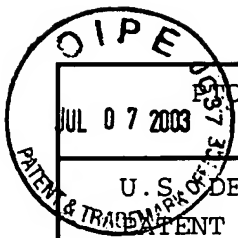
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TECHNOLOGY CENTER R3700

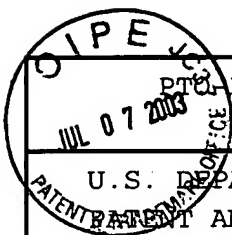
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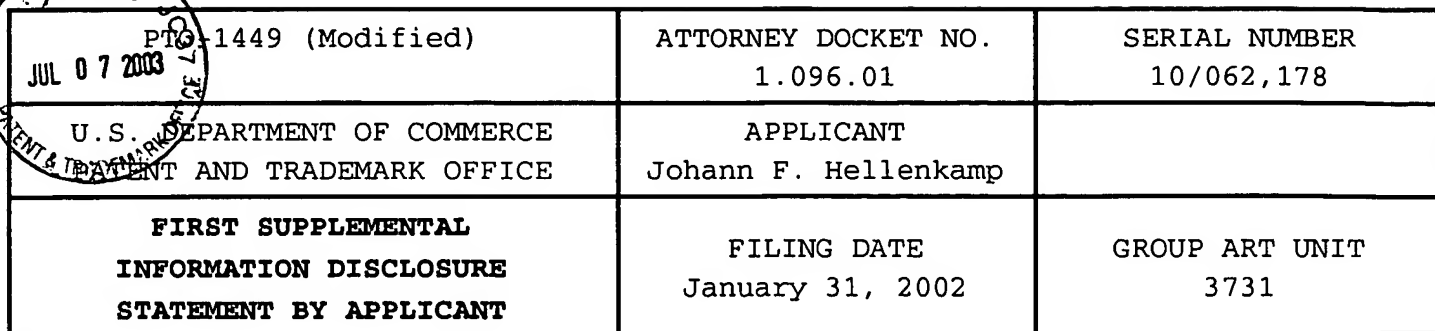


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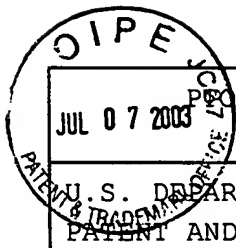
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Examiner's Initials	Document	JUL 17 2003	Data CD Reference No.
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	Memorandum Sur Motion for Reconsideration of Patent Claim Construction		BLMM58

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Examiner's Signature		Date Considered:	
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Sheet 4 of 4



PGC-1449 (Modified)	ATTORNEY DOCKET NO. 1.096.01	SERIAL NUMBER 10/062,178
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	APPLICANT Johann F. Hellenkamp	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE January 31, 2002	GROUP ART UNIT 1731

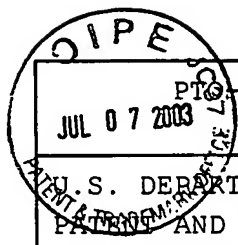
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## U.S. PATENT DOCUMENTS

JUL 17 2003

TECHNOLOGY CENTER B3700

Examiner Initials	Document Number	Date of Publication	Name of Applicant	OCR Data CD Box and Folder
	6,045,562	04/04/00	Amano et al.	1 000718-000730
	6,203,555	03/20/01	Amano et al.	1 000970-000988
	5,352,233	10/04/94	Anis	1 001148-001154
	3,167,868	02/02/65	Arneson	2 002863-002868
	5,269,795	12/14/93	Arnott	1 001695-001705
	5,632,757	05/27/97	Arnott	1 001736-001748
	6,022,365	02/08/00	Aufaure et al.	2 002869-002874
	3,217,416	11/16/65	Bachert et al.	2 002875-002877
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	5,989,272	11/23/99	Barron et al.	1 000065-000074
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	2,457,772	12/28/48	Brown et al.	2 002904-002908
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	5,591,174	01/07/97	Clark et al.	2 002958-002975
	4,205,682	06/03/80	Crock et al.	2 003742-003747
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PTO-1449 (Modified)	ATTORNEY DOCKET NO. 1.096.01	SERIAL NUMBER 10/062,178
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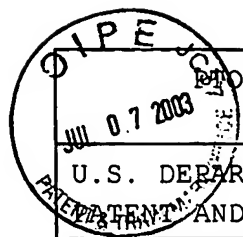
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	5,529,581	06/25/96	Cusack	2 002976-002982
	5,792,161	08/11/98	de Almeida Cunha	1 001391-001398
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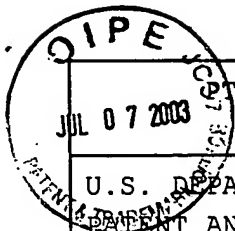
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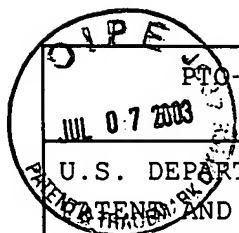


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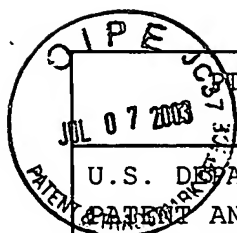
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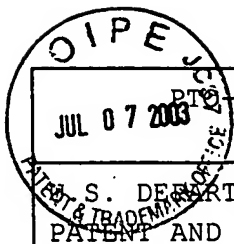
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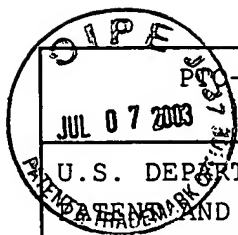
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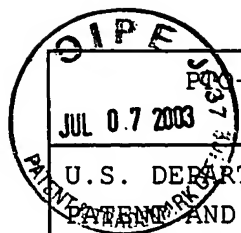


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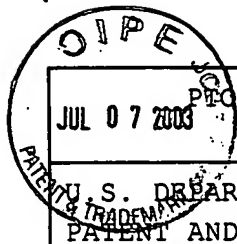
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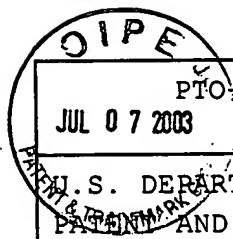
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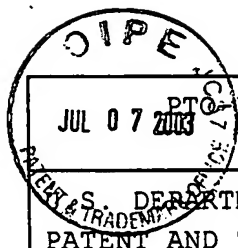


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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	APPLICANT Johann F. Hellenkamp	
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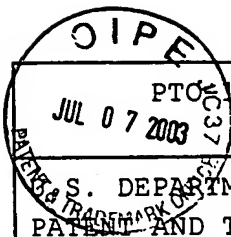


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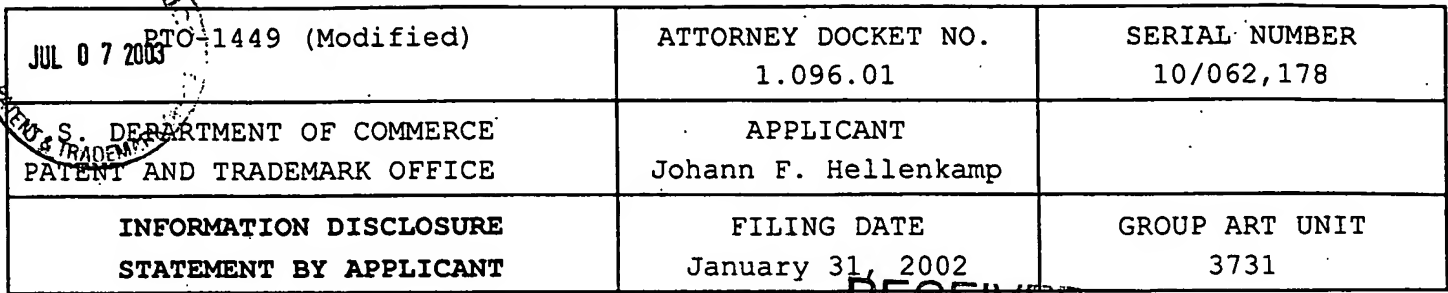
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE January 31, 2002	GROUP ART UNIT 3731

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JUL 17 2003

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Examiner's Signature		Date Considered:	
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Sheet 14 of 14



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johann F. Hellenkamp

Serial No.: 10/062,178

Filing Date: January 31, 2002

For: AUTOMATIC SURGICAL DEVICE AND CONTROL ASSEMBLY  
FOR CUTTING A CORNEA

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Historic Coral Way  
Miami, Florida 33129  
July 7, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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I HEREBY CERTIFY that this correspondence is being deposited by United States Express Mail, Label No. EL-920-408-354-US, in an envelope addressed to: *Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450*, this 7 day of July, 2003.

Respectfully submitted,

MALLOY & MALLOY, P.A.  
2800 S.W. Third Avenue  
Historic Coral Way  
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(305) 858-8000

By: 

Peter A. Matos  
Reg. No. 37,884

Date: 7/7/03